

05-22-01

UTILITY
PATENT APPLICATION
TRANSMITTAL

| | | | |
|--|---------------|-------------|----|
| Attorney Docket No. | 2000-0203 | Total Pages | 34 |
| First Named Inventor or Application Identifier | | | |
| Carroll W. Creswell et al. | | | |
| Express Mail Label No. | EL809218523US | | |

05/21/01

PTO
05/21/01
09/862754

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- 1. ☒ Fee Transmittal Form
(submit an original, and a duplicate for fee processing)
- 2. ☒ Specification [Total Pages 19]
(preferred arrangement set forth below)
 - Descriptive title of invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings(if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- 3. ☒ Drawing(s)(35 USC 113) [Total Sheets 5]
- 4. ☒ Oath or Declaration [Total Pages 3]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application(37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)
- 5. ☐ Incorporation by reference(usable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath
or declaration is supplied under Box 4b, is considered as being part of the
disclosure of the accompanying application and is hereby incorporated by
reference herein.

ADDRESS TO: Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

- 6. ☐ Microfiche Computer Program (Appendix)
- 7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy(identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 8. ☒ Assignment Papers(cover sheet & document(s))
- 9. ☐ 37 CFR 3.73(b)Statement ☐ Power of Attorney
- 10. ☐ English Translation Document (if applicable)
- 11. ☐ Information Disclosure ☐ Copies of IDS
Statement(IDS)/PTO-1449 Citations
- 12. ☐ Preliminary Amendment
- 13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- 14. ☐ Small Entity ☐ Statement filed in prior application,
Statement(s) Status still proper and desired
- 15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 16. ☒ Other: Non-Publication Request

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No:

18. CORRESPONDENCE ADDRESS

| | | | |
|--|---|---|--------------|
| <input type="checkbox"/> Customer Number or Bar Code Label | (Insert Customer No. or Attach bar code label here) | or <input checked="" type="checkbox"/> Correspondence address below | |
| NAME | Samuel H. Dworesky | | |
| ADDRESS | AT&T CORP. P.O. Box 4110 | | |
| CITY | Middletown | STATE | New Jersey |
| COUNTRY | United States of America | ZIP CODE | 07748-4110 |
| | | FAX | 732-368-6932 |

19. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

| | | | |
|-----------|------------------|--------|--------------|
| NAME | Christine Szwerc | Reg. # | 43177 |
| TELEPHONE | 908-221-8930 | | |
| SIGNATURE | Christine Szwerc | DATE | May 21, 2001 |

"Express Mail" Mailing Label Number EL809218523US Date of Deposit 05/21/2001

I hereby certify that this application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington D.C. , 20231

Nicole Barrett
(Printed Name of Person Mailing Paper)
Nicole Barrett
(Signature of Person Mailing Paper)

FEE TRANSMITTAL

Patent Fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997

Small Entity payments must be supported by a small entity Statement, otherwise, large entity fees must be paid. See Forms PTO/SB/09-12.**Complete if Known**

| | |
|----------------------|----------------------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | Carroll W. Creswell et al. |
| Examiner Name | |
| Group/Art Unit | |
| Attorney Docket No. | 2000-0203 |

TOTAL AMOUNT OF PAYMENT \$764.00
METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

 Deposit Account Number 01-2745
 Deposit Account Name AT&T CORP.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing Date of the Notice of Allowance

 2. ☐ Payment Enclosed
☐ Check ☐ Money Order ☐ Other
FEE CALCULATION**1. FILING FEE**

| Large Fee Code | Entity Fee(\$) | Fee Description | Fee Paid |
|----------------|----------------|------------------------|----------|
| 101 | 710 | Utility Filing Fee | \$710.00 |
| 106 | 320 | Design Filing Fee | |
| 107 | 480 | Plant Filing Fee | |
| 108 | 710 | Reissue Filing Fee | |
| 114 | 150 | Provisional Filing Fee | |

SUBTOTAL (1) \$710.00**2. CLAIMS**
☐ New Filing ☐ Amendment

| | | | Extra Claims | | Fee from below | | Fee Paid |
|---------------------------|----|--------|--------------|---|----------------|---|----------|
| Total | 23 | - 20 = | 3 | X | 18 | = | \$54.00 |
| Ind. | 3 | - 3 = | 0 | X | 80 | = | \$0.00 |
| Multiple Dependent Claims | | | 0 | | | = | \$0.00 |

| Large Fee Code | Entity Fee(\$) | Fee Description |
|----------------|----------------|---|
| 103 | 18 | Claims in excess of 20 |
| 102 | 80 | Independent Claims in excess of 3 |
| 104 | 270 | Multiple Dependent Claims |
| 109 | 80 | Reissue independent claims over original patent |
| 110 | 18 | Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$54.00**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Fee Code | Entity Fee(\$) | Fee Description | Fee Paid |
|----------------|----------------|---|----------|
| 105 | 130 | Surcharge - late filing fee or oath | |
| 127 | 50 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | Non-English specification | |
| 147 | 2520 | For filing a request for reexamination | |
| 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1840* | Requesting publication of SIR after to Examiner action | |
| 115 | 110 | Extension for reply within first month | |
| 116 | 390 | Extension for reply within second month | |
| 117 | 890 | Extension for reply within third month | |
| 118 | 1390 | Extension for reply within fourth month | |
| 128 | 1890 | Extension for reply within fifth month | |
| 119 | 310 | Notice of Appeal | |
| 120 | 310 | Filing a brief in support of an appeal | |
| 121 | 270 | Request for oral hearing | |
| 138 | 1510 | Petition to institute a public use proceeding | |
| 140 | 110 | Petition to revive - unavoidable | |
| 141 | 1240 | Petition to revive - unintentional | |
| 142 | 1240 | Utility issue fee (or reissue) | |
| 143 | 440 | Design issue fee | |
| 144 | 580 | Plant issue fee | |
| 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | Petitions related to provisional applications | |
| 126 | 240 | Submission of Information Disclosure Statement | |
| 581 | 40 | Recording each patent assignment per property(times number of properties) | |
| 146 | 710 | Filing a submission after final rejection(37 CFR 1.129(a)) | |
| 149 | 710 | For each additional invention to be examined (37 CFR 1.129(b)) | |

Other fee (specify)

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)**SUBMITTED BY**

Typed or Printed Name Christine Szwerc

Complete (if applicable)

Reg. Number 43177

Signature Christine Szwerc Date 05/21/2001

Deposit Account User ID

SEND TO: Commissioner for Patents, Box: Patent Application, Washington, D.C. 20231

DUPLICATE

| FEE TRANSMITTAL <small>Patent Fees are subject to annual revision on October 1 These are the fees effective October 1, 1997 Small Entity payments <u>must</u> be supported by a small entity Statement, otherwise, large entity fees must be paid. See Forms PTO/SB/09-12.</small> | | Complete if Known | |
|---|--|--------------------------------------|--------------------|
| TOTAL AMOUNT OF PAYMENT \$764.00 | | Application Number | Filing Date |
| First Named Inventor Carroll W. Creswell et al. | | Examiner Name | |
| Group/Art Unit | | Attorney Docket No. 2000-0203 | |

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FEE CALCULATION (continued)

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| Other fee (specify) | | | |
| Other fee (specify) | | | |

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| SUBTOTAL (1) | | | \$710.00 |

2. CLAIMS ☐ New Filing ☐ Amendment

| Total | Ind. | Extra Claims | Fee from below | Fee Paid |
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| 110 | 18 | Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | \$54.00 |

* Reduced by Basic Filing Fee Paid

SUBTOTAL(3)

| SUBMITTED BY | | Complete (if applicable) | |
|-----------------------|------------------|--------------------------|------------|
| Typed or Printed Name | Christine Szwerc | Reg. Number | 43177 |
| Signature | Christine Szwerc | Date | 05/21/2001 |
| | | Deposit Account User ID | |

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

| | | | |
|--|----------------------|---|----------------------------|
| REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i) | First Named Inventor | | Carroll W. Creswell et al. |
| | Title | System For Providing Sequenced Communications Within A Group | |
| | Atty Docket Number | | 2000-0203 |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

May 21, 2001

Date


Signature

Christine Szwerc, Attorney
Reg. No. 43177

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**